

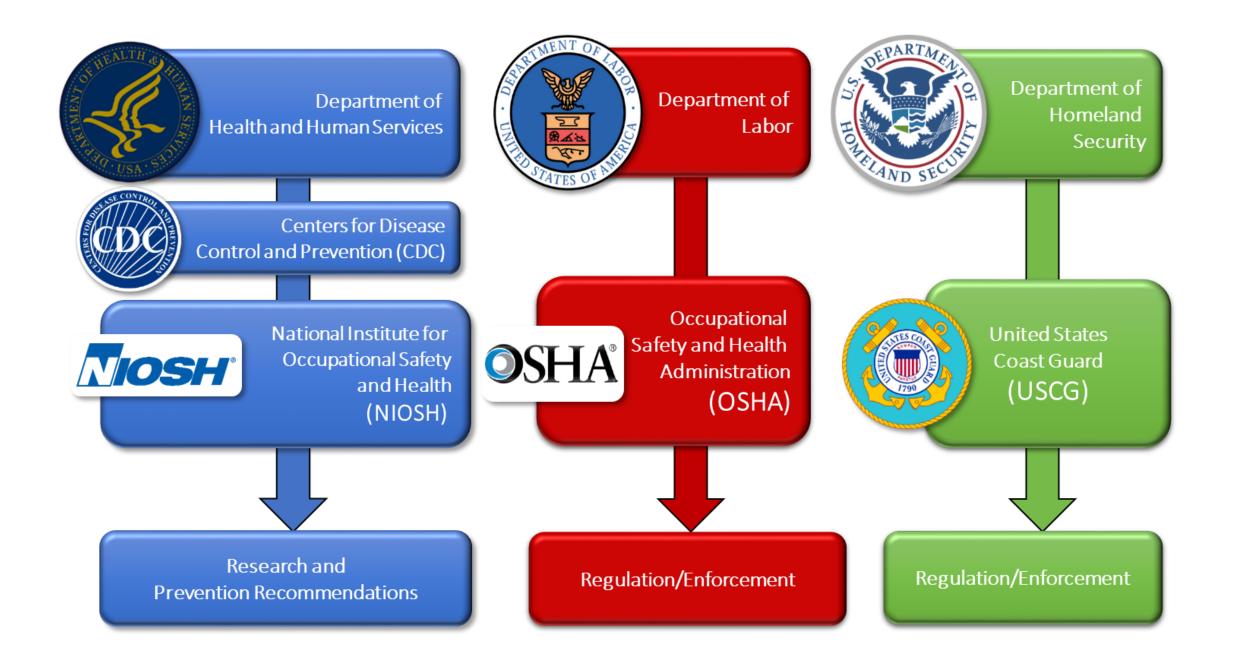
Safety Research in the Alaskan Commercial Fishing Industry

Jennifer M. Lincoln, PhD, CSP Samantha Case, MPH

Presentation to the US Coast Guard Marine Casualty Hearing F/V Scandies Rose

March 2021





Center for Maritime Safety and Health Studies

Commercial Fishing Safety Research and Design Program

- Scientific research on safety problems and solutions
- Provide high quality, relevant information
- Research findings used by
 - Fishing industry
 - Government agencies
 - Marine safety trainers



NIOSH Commercial Fishing Research

Research By Hazard Type









Search by Topic

| Regions | Solutions | Projects and Impacts | Resources | | | |
|----------------|-----------------|----------------------|---------------------|---|--|--|
| National | <u>Overview</u> | | | | | |
| Alaska | | | | | | |
| West Coa | <u>ist</u> | | | | | |
| East Coas | <u>st</u> | | | | | |
| Gulf of Mexico | | | | https://www.cdc.gov/niosh/topics/fishing/ | | |
| Hawaii/Pacific | | | https://www.cdc.gov | <u>//niosh/maritime/</u> | | |

USCG-NIOSH Partnership

Memorandum of Agreement (MOA)

- Last renewed in 2019
- NIOSH scientist granted USCG credentials as federal affiliate
- Access to MISLE to manually review cases
- Conduct statistical analyses of data
- Identify causes of hazards leading to deaths and injuries



NIOSH Commercial Fishing Incident Database (CFID)

Marine casualty occurs



Coast Guard or local law enforcement investigates

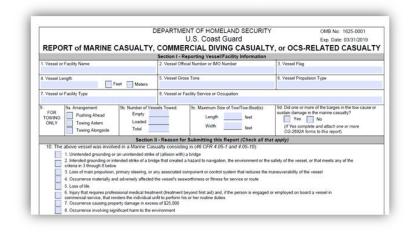


NIOSH reviews reports



Information entered into Commercial Fishing Incident Database (CFID)







Overview

Fatalities in the Alaska Fishing Industry

Safety Focus: BSAI Crab Fishery

NIOSH Key Research: Vessel Disasters and Survival Factors

Safety Recommendations

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Fatalities in the Alaska Fishing Industry

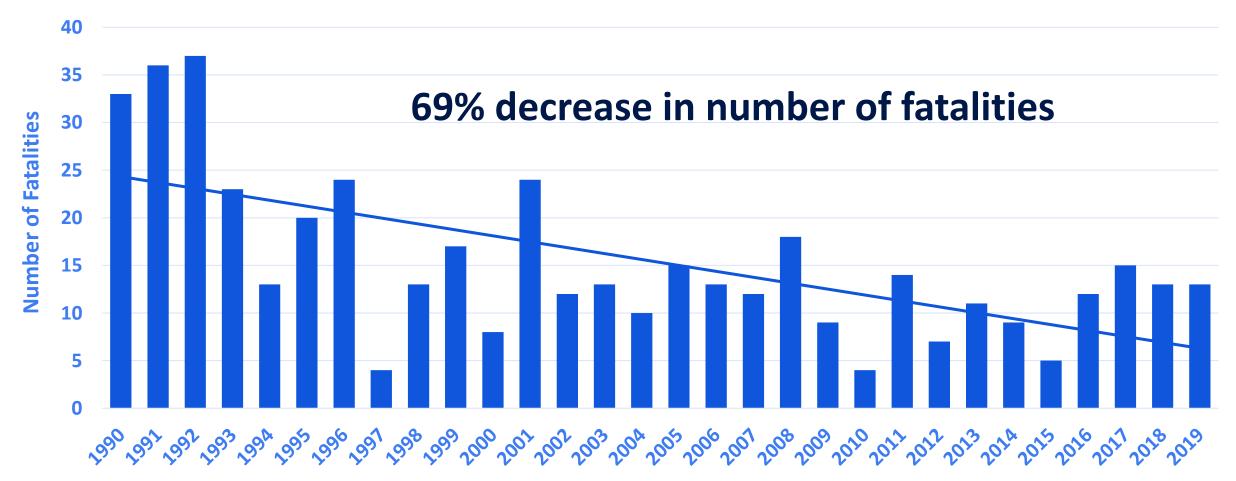
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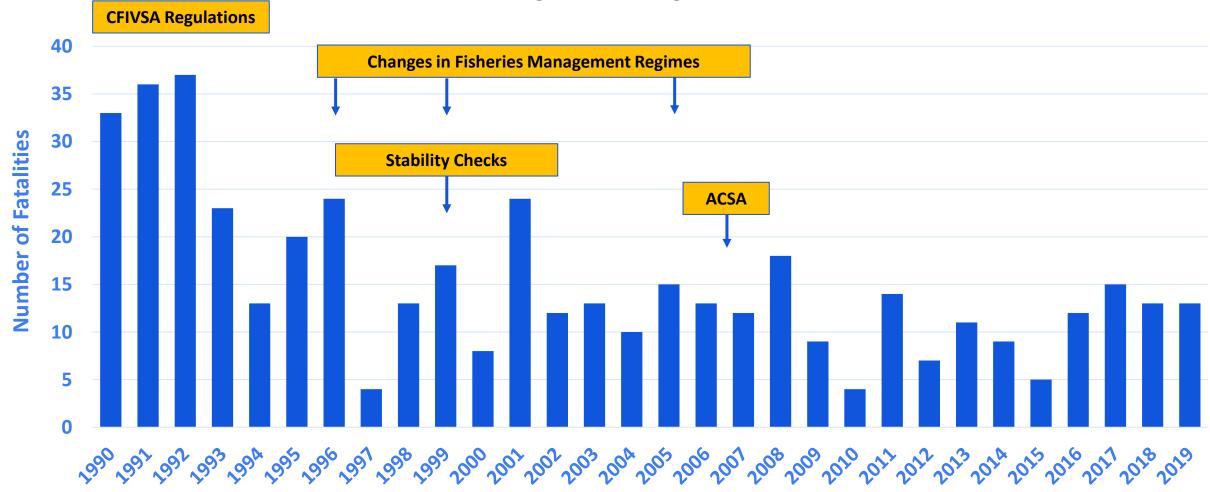
Commercial Fishing Fatalities, Alaska, 1990–2019

(n=457)





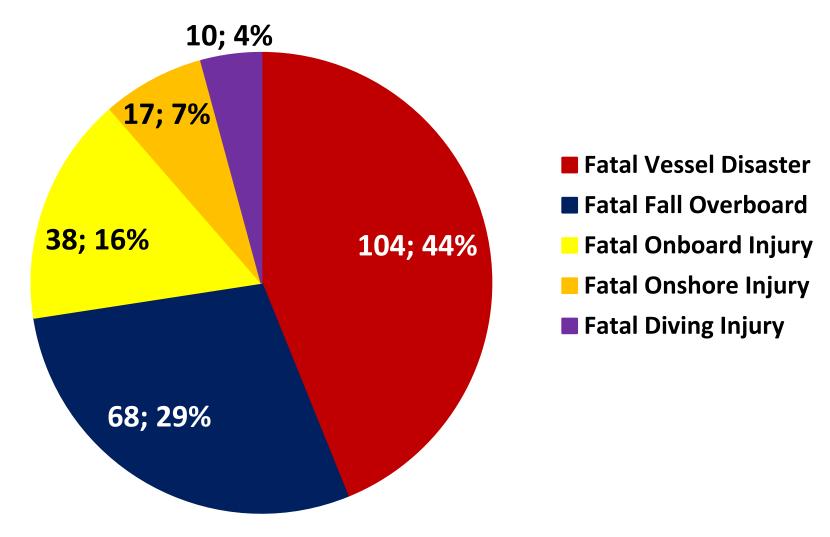
Commercial Fishing Fatalities, Alaska, 1990–2019 (n=457)





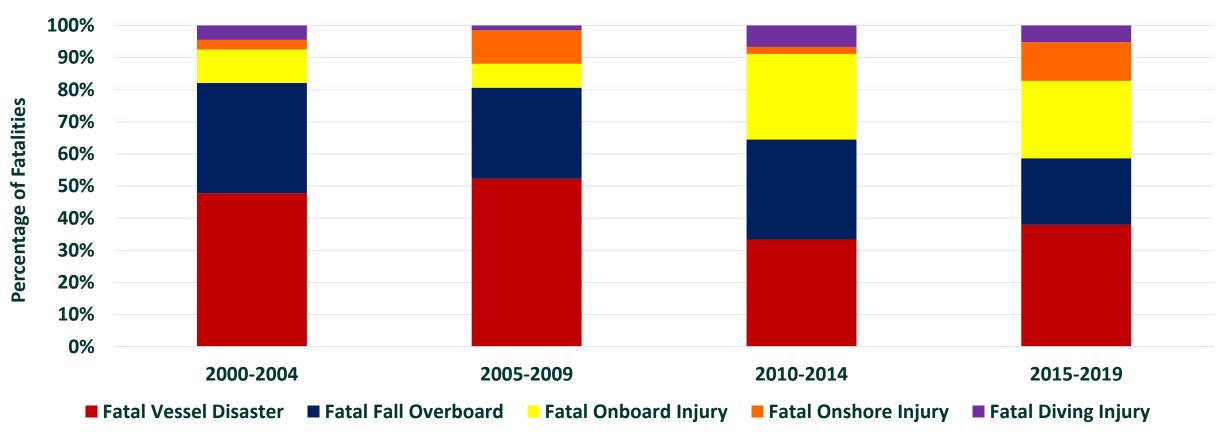
Marine Safety Training, Corporate Safety Programs
Improved Safety Culture

Commercial Fishing Fatalities by Incident Type, Alaska, 2000–2019 (n=237)





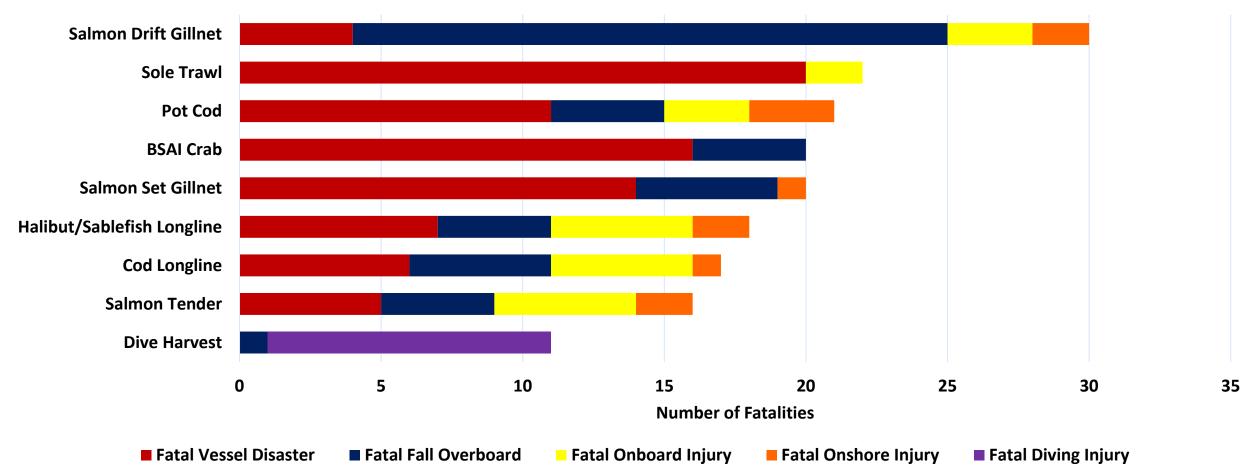
Commercial Fishing Fatalities by Incident Type, Alaska, 2000–2019 (n=237)





Alaskan Fisheries with ≥10 Fatalities, 2000-2019 (n=175)

74% of fatalities





CFID Variables for Vessel Disasters

- Initiating Event, Subsequent Event(s), Final Event
- Contributing factors (e.g., human factors)
- If flooding occurs:
 - Type of flooding
 - Cause of flooding
 - Location of flooding
- If instability occurs:
 - Cause of instability

Example of vessel disaster sequence of events coding

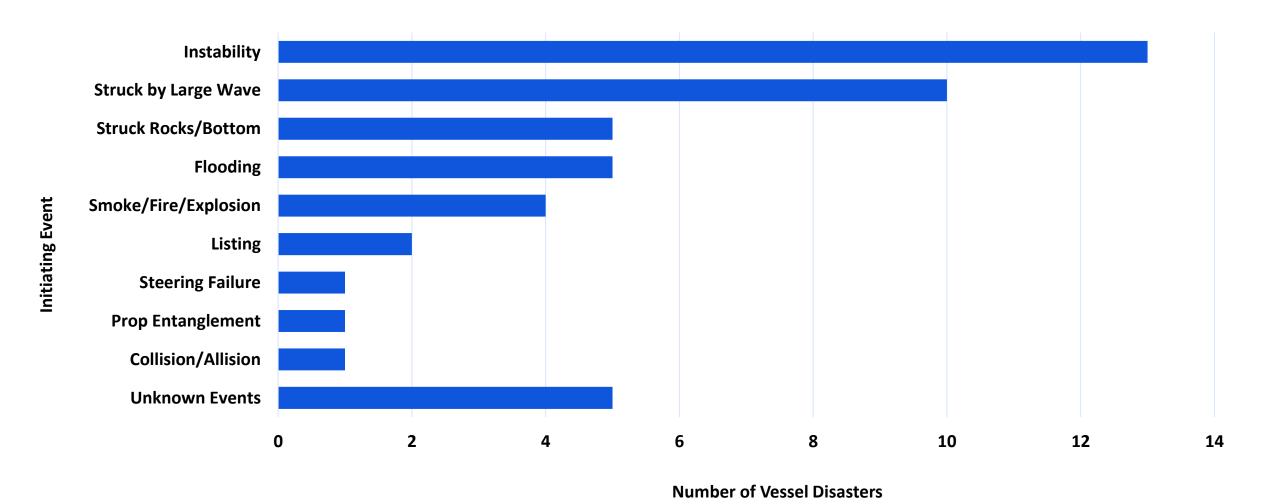
Loss of steering

Struck Rocks/Bottom

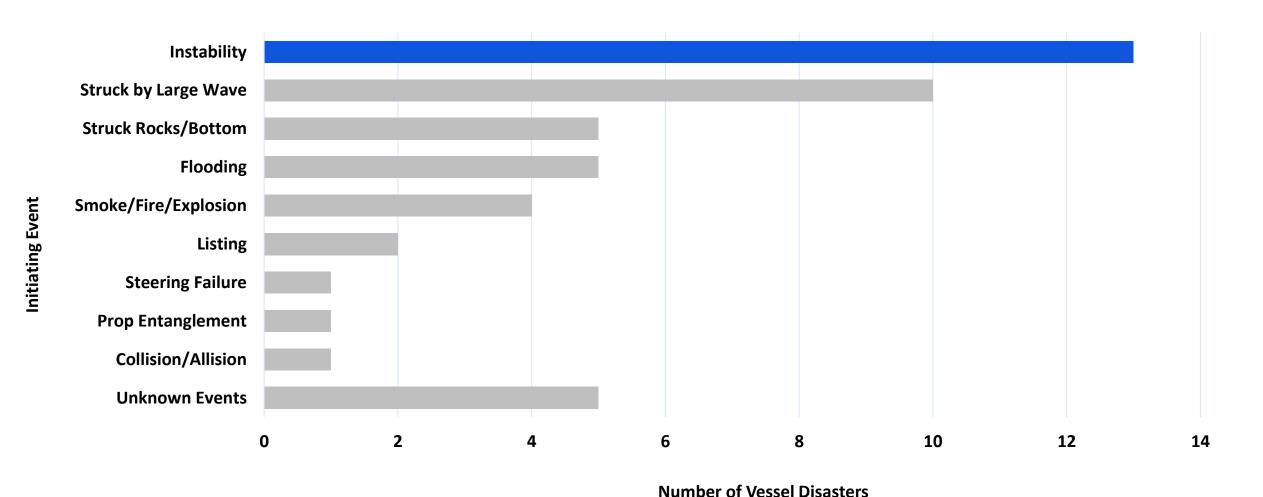
Flooding

Grounded (afloat)

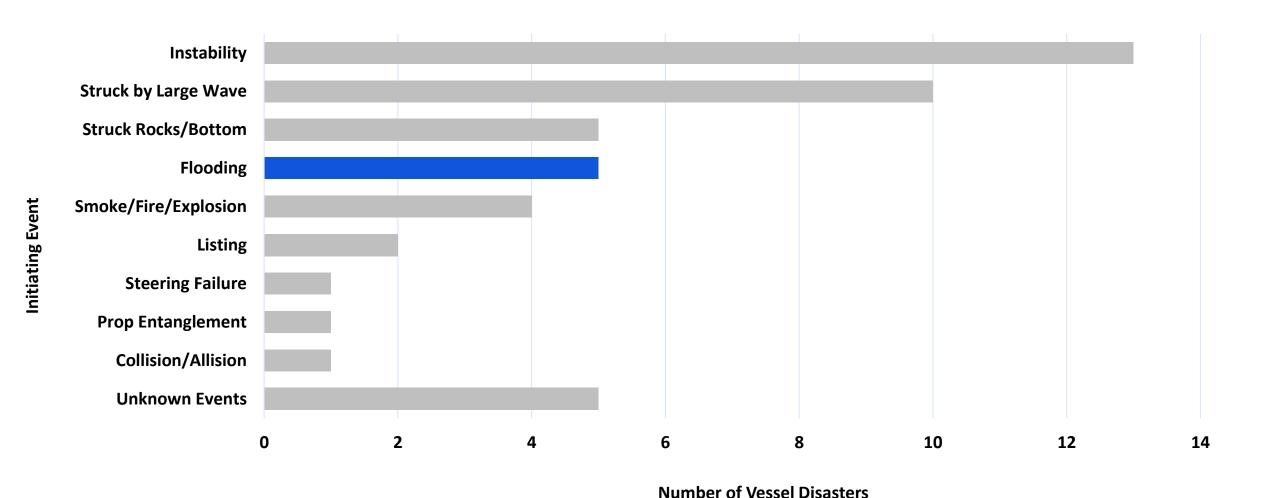
Initiating Events of Fatal Vessel Disasters, Alaska, 2000-2019 (n=47)



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Overview

Fatalities in the Alaska Fishing Industry

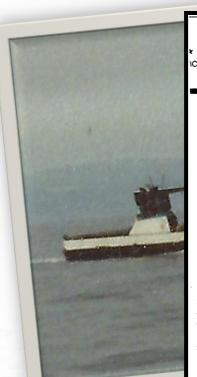
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Weather B2 Funerals B2 **Business** B4

4 missing after boat sinks in Aleutians

By Steve Miletich

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"It's part of life if you're a career fisherman," said Tierna Bravo, 27, who expressed little hope that her fiance. Ken Krumal, was still alive.

U.S. Coast Guard rescuers using a helicopter and plane searched yesterday for Krumal, 32, and three other crew members of the 94-foot Harvey G.

Neither survivors nor the vessel were found yesterday, Coast Guard Petty Officer Bill Schlueter said in Juneau. The search is to resume this morning.

The boat sent out a Mayday call about 11 p.m. Friday and then apparently sank

The vessel's home port is Ketchikan, both from the Harvey G.

Skipper known as 'adventure junkie'



the Aleutian Islands, authorities said.

edly abandoned the boat as it went down. authorities said. Krumal was the

skipper, Bravo said. One crew member was identified as times." John Morgan, in his

20s, believed to be from the Seattle area. The other two men weren't identified pending notification of relatives.

As many as 20 fishing boats searched for survivors but by yesterday had turned up only a life raft and a life preserver,

and it was fishing for Petty Officer Jeff Roberts said a crab about 100 miles fishing boat reported spotting a body north of Cold Bay in Saturday, partially clad in a survival suit, but when the crew tried to grab it, the body slipped beneath the water and was Four men report- not seen again. Roberts said that based on where the body was found, it was believed to have been a crewman from the Harvey G.

"Unfortunately, in a lot of these cases . . . you never find anything." Bravo said. "Questions don't get answered many

She said she met Krumal six years ago. She had never been fishing, she recalled, and Krumal invited her to join him on a commercial fishing trip to Alaska.

Since then, Bravo said, she frequently has gone with him on commercial fishing expeditions in Alaska and Puget Sound.

"He's extremely outgoing, very ac-

tive," she said. "A lot of people would describe him as an adventure junkie."

Krumal and Morgan left Seattle Oct. 18 for the crab fishing season that began Nov. 1. Bravo said.

"They were fishing in rough conditions," she said. "There were 18-foot swells and 50-knot winds Friday night."

Bravo said Krumal has been a commercial fisherman since he was 18.

"He's a pretty well-known guy (in the fishing community)," she said.

Krumal was acting as skipper for thefirst time in the Bering Sea, but he often was the skipper of two boats he owns, Bravo said.

She said she and Krumal have been engaged about two years but had not set a wedding date.

"Fishing takes you away," she said. explaining the difficulty in carrying out their plans.

■ The Associated Press contributed to this





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Skipper known as 'a Coast Guard to focus on wny crab boat and crew vanished

By Scott Sunde P-I Reporter

The Coast Guard has suspended its air and sea search for the crab boat Barbarossa and its six crewmen and has begun to focus on what may have led to the vessel's disappearance in the Bering Sea.

good weather allowed aircraft and a cutter to cover more than 20,000 Pribilof Islands. But only a little trawler. debris was found. Crab vessels of possibly the

not have survived in the cold in the early 1980s, Blais said. waters of the Bering Sea this long. the spokesman said.

One of the crewmen. Don Bright, 31, is from Marysville, and a second, Brian McPherson, has family in Tacoma. The other four are from Alaska.

would rank as the worst fishing vessel accident in Alaskan waters since the Aleutian Enterprise

the Coast Guard's investigations office in Anchorage. Nine people died in the sinking of that Seattlebased factory trawler.

the Barbarossa, its operations and cited for marine safety violations. crew, and the weather it faced.

A spokesman at the Coast bile, Ala., made the Barbarossa. Guard's Juneau office said au- according to Ken Holland, the 1989. thorities suspended the search Anacortes, Wash, man who owned unavailable for comment yesterday. Bender also converted the square miles of ocean near the Aleutian Enterprise into a factory

Even if the crewmen were same make as the Barbarossa may

may have seen the vessel being crew change. loaded, Blair said.

be reviewed. Other crab fisher-If all six crewmen are dead, 'men near where the Barbarossa is the sinking of the Barbarossa, thought to have run into trouble early Sunday reported 40-knot winds and 15-foot seas.

The Barbarossa's skipper. sank in the Bering Sea last March George Brandenburg of Kodiak, give him more time."

22, said Lt. Cmdr. Richard Blais of Alaska, was not required to have a license to operate the vessel since it weighed less than 200 gross tons, Coast Guard officials said. It could not be determined yester-Blais said investigators have: day whether Brandenburg, a vetbegun collecting information on eran fisherman, had ever been

Coast Guard records do show Bender Shipbuilding of Mo. the Barbarossa was cited for two minor violations in November

There also was a crew change late Tuesday after two days of the boat. Bender officials were on the vessel shortly before it disappeared. A sister of Bright said yesterday that she believed her brother transferred from a crab-processing boat to the Barbarossa, a crab-catching boat, as recently as Saturday.

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> > "Don was a fighter. We're hoping one way or the other that he's still out there," she said. "We're waiting. We're going to

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F/V NORTHWEST MARINER

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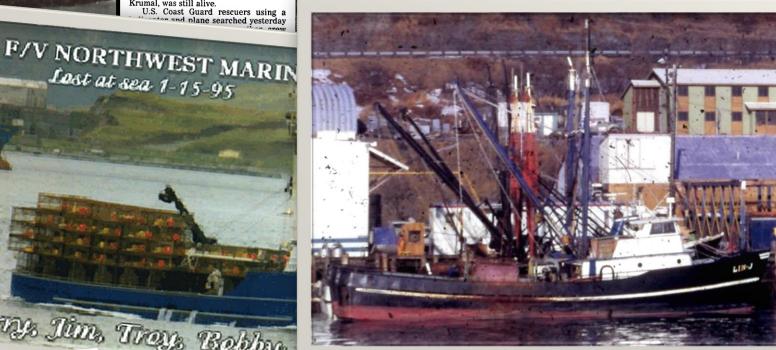
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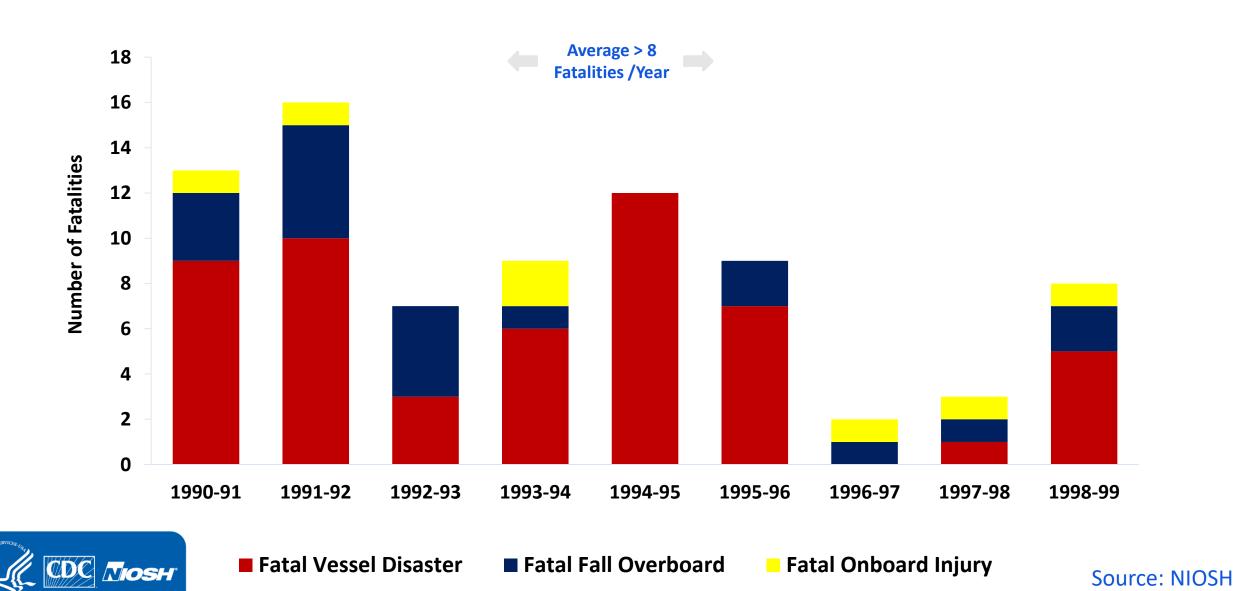
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Bering Sea / Aleutian Island Crab Fishery Fatalities (1990-1999)



Safety and Stability Checks

- Travel to main crab ports with USCG FVS personnel
- Evaluate stability reports
- Dangerous work but...the real stress was in the wheelhouse
- Other boats started pulling pots

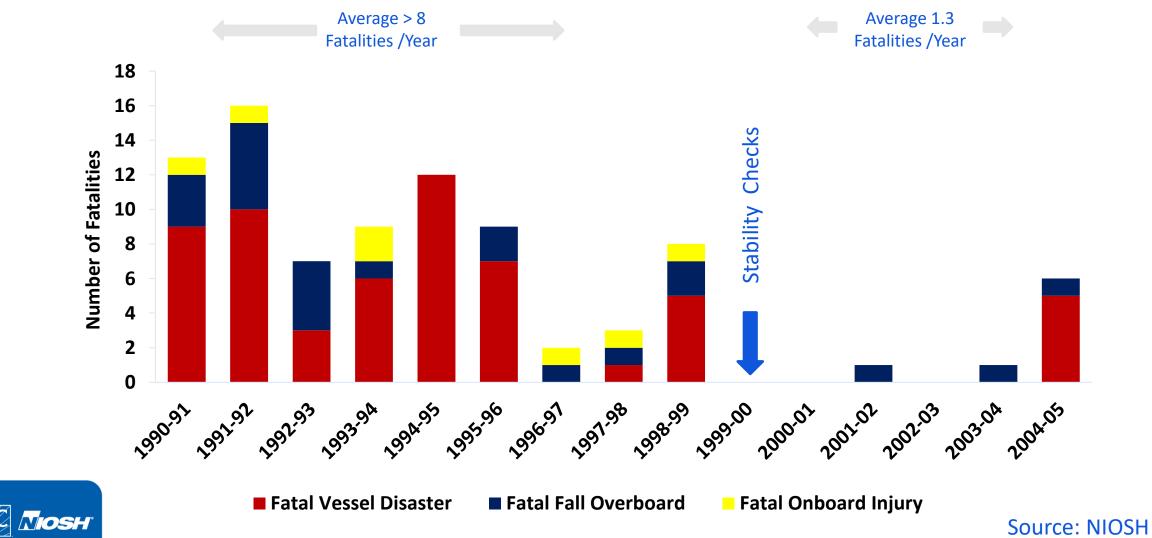


At the Dock Stability Check: Results

- Conducted compliance checks on 50% of fleet 3 days before start of season
- 2 vessels detected in an overloaded condition within first hour.
- Problem corrected at the dock
- Crab industry leadership LOVED IT!

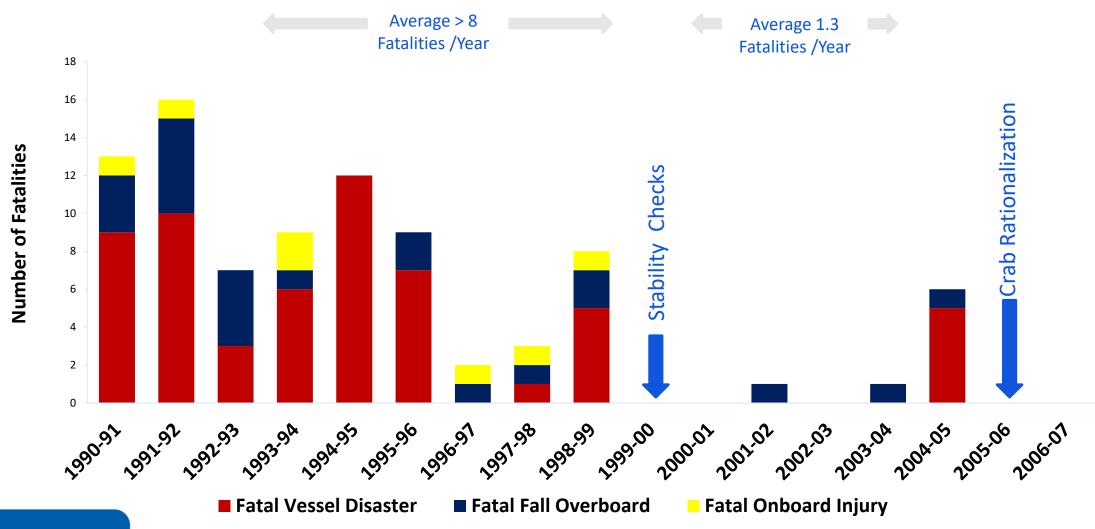


Bering Sea / Aleutian Island Crab Fishery Fatalities (1990-2005)





Bering Sea / Aleutian Island Crab Fishery Fatalities (1990-2006)





Source: NIOSH

Quota Based Management Systems and Safety

- What are we talking about? IFQs, Rationalized, Quota systems
 - an allocation is given to a person, vessel, etc.
 - Ending "race to fish"
- Results in
 - Fleet consolidation
 - Lengthens the total period of time fish are caught
 - Flexibility to avoid bad weather
 - Allows for investment in vessel, crew, and overall operation
- Unintended consequences
 - Race for catch history

Safety Impacts: BSAI Crab Rationalization: 5-year Review

Appendix B

Review of Safety Under the Crab Rationalization Management Program for Bering Sea and Aleutian Islands Crab Fisheries

Jennifer M. Lincoln, PhD, CSP
Alaska Pacific Regional Office
National Institute for Occupational Safety and Health

CDR Christopher J. Woodley, MMA United States Coast Guard

Introduction

This section analyzes the safety performance of the Bering Sea / Aleutian Island (BSAI) crab fleet since 2005. As part of this analysis, the safety performance of the BSAI crab fleet from 1990-2005 is also discussed to provide more information leading up to the Crab Rationalization (CR) program. Several factors have been influential in affecting the safety of this fleet during this time frame (Woodley et.al., 2009; Lincoln & Lucas, 2010). This paper will review the following elements and will conclude with further recommendations.

- Fatality History, 1990-1999
- 2. U.S. Coast Guard Stability and Safety Compliance Checks, 1999 present
- 3. Crab Rationalization (CR) Program 2005 Present

https://www.npfmc.org/wpcontent/PDFdocuments/catch_shares/ Crab/5YearRev1210_AppxB.pdf

BSAI Crab Fisheries Environment Prior to Rationalization

- Winter, cold temps and icing, high winds and seas, poor weather
- Vessel length <85 feet- > 125 feet
- Season lengths shrinking- "race to fish"
- Minimally crewed with 5-7 people

Where can risk be reduced?

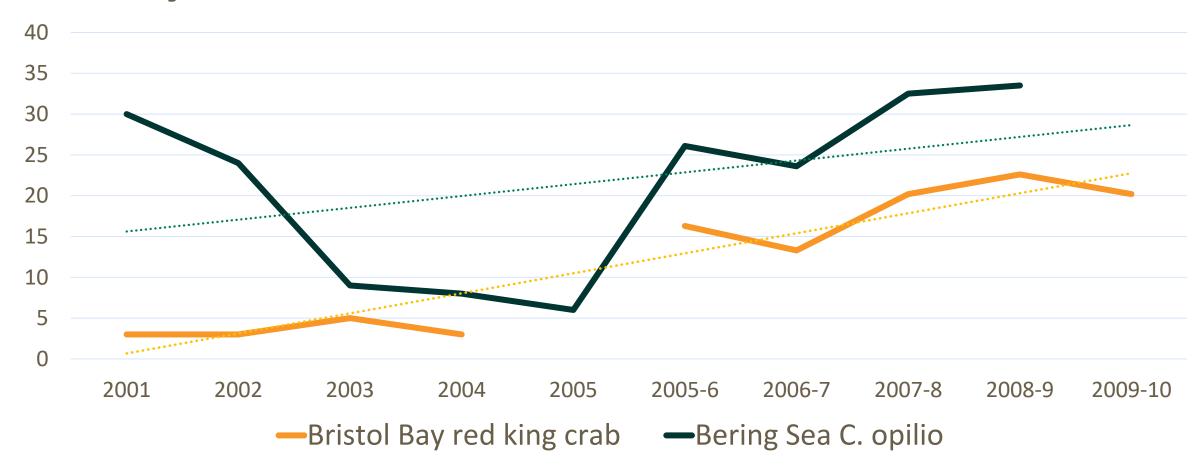
■ Pots— 750 to 850+lbs (empty and with no ice) — loaded 3-5 tiers high

Effects on the Vessels

| Reduction in Participant Vessels by vessel length, 2001-2009/10 | | | | | | | | |
|---|---------------------|------------------------|-------------------------|----------------------|--|--|--|--|
| Fishery | Vessels <85 feet | Vessels 85-100 feet | Vessels 100-125 feet | Vessels >125 feet | | | | |
| Bristol Bay red king crab | 93% reduction | 77% reduction | 66% reduction | 62% reduction | | | | |
| Bering Sea C. opilio | ~100% reduction | 71% reduction | 60% reduction | 53% reduction | | | | |



After Crab Rationalization, Average Days Fished Greatly Increased





Source: 2001 to 2005 is season length; 2005-6 to 2009-10 is fishing days from crab EDR data. No data for Bristol Bay red king crab in 2005 and Bering Sea C. opilio and 2009-10

BSAI Rationalization Impacts

- Fishery pace has slowed slightly
 - Average Pot lift/vessel day decreased
 - 32% Red King Crab
 - 17% for Bering Sea C. opilio fishery



Effects on Operations

- Delay departure
 - anecdotal
- Vessel cooperatives
 - Great tool to reduce risk
 - It gives members the ability to transfer quota to avoid bad weather
- Fewer pots
 - Recorded during stability checks

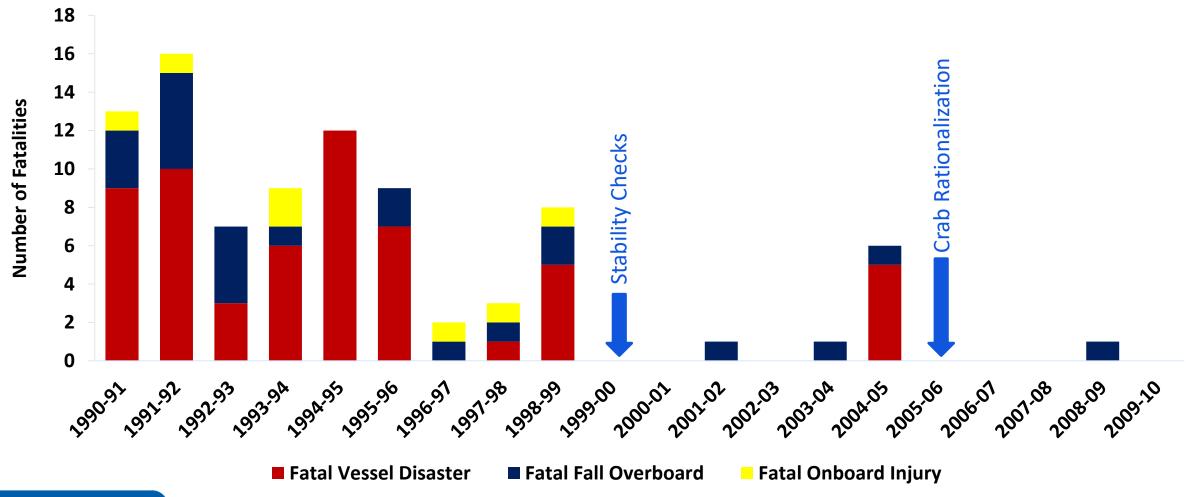


Summary: Crab Rationalization and Safety- First Five Years

- Fatality rates decreased several seasons before rationalization started
- Continued to be no vessel losses
- Other Risk Reductions
 - Increase in fishing season length
 - Fewer smaller vessels
 - Vessel cooperatives
 - Decrease in pots carried
 - Decrease in pot-lifts/vsl day



Bering Sea / Aleutian Island Crab Fishery Fatalities (1990-2010)





Source: NIOSH

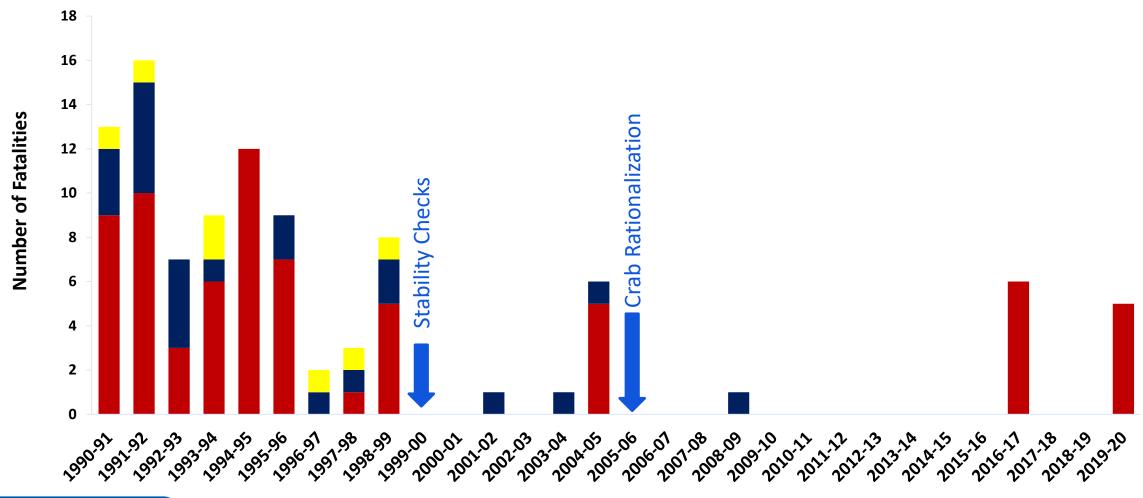
Impacts of Crab Rationalization on Safety

- Ended the Race to Fish
- Greatly increased flexibility w/ rationalization
- Reduction in fleet size w/ remaining vessels larger
- Fewer pots & reduced pot hauls
- Vessels can avoid poor weather
- Mandatory decals / departure reporting
- Stability and Safety Checks can't be done the same way



Photo: USCG, Petty Officer 3rd Class Erik Swanson

Bering Sea / Aleutian Island Crab Fishery Fatalities (1990-2020)





Environmental Hazards: Winter in Alaska

- Exposure to the elements
 - Cold weather, darkness
 - Bad, impending weather
 - Pots and icing stability
- Long hours/Shift work
 - 24-hour operation
 - Extended shifts, sleep deprivation



Competing Priorities and Choices

- Continued desire to minimize days at sea to reduce operational costs
- Meeting delivery dates
 - Program is complicated and deliveries must be matched with processors
 - Schedule prevents everyone from delivering at once
- Also, other priorities not operationally related

Overview

Fatalities in the Alaska Fishing Industry

Safety Focus: BSAI Crab Fishery

NIOSH Key Research: Vessel Disasters and Survival Factors

Safety Recommendations

NIOSH Vessel Disaster Research

- Two studies analyzed fishing vessel disasters in Alaska
- Asked two questions:
 - Do vessel-related characteristics (e.g., history of casualties) predict vessel disasters?¹
 - 2. If a vessel sinks, what factors improve survival chances?²



Crewmember rescued by USCG after vessel sinking. Photo: USCG.

¹Case, S. L., & Lucas, D. L. (2020). Predicting commercial fishing vessel disasters through a novel application of the theory of man-made disasters. *Journal of safety research*, 75, 51-56.
²Lucas, D. L., Case, S. L., Lincoln, J. M., & Watson, J. R. (2018). Factors associated with crewmember survival of cold water immersion due to commercial fishing vessel sinkings in Alaska. *Safety science*, 101, 190-196.

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Study Approach

Systematically compare vessels involved in disasters to those that were not



Cases

- A commercial fishing vessel involved in a catastrophic event that resulted in the entire crew abandoning the vessel in Alaska during 2010-2015.
- Source: NIOSH Commercial Fishing Incident Database



Controls

- A commercial fishing vessel that was active in Alaska during 2010-2015 and did not experience a vessel disaster.
- Sources: State of Alaska, National Marine Fisheries Service
- Three control vessels randomly selected for each case vessel

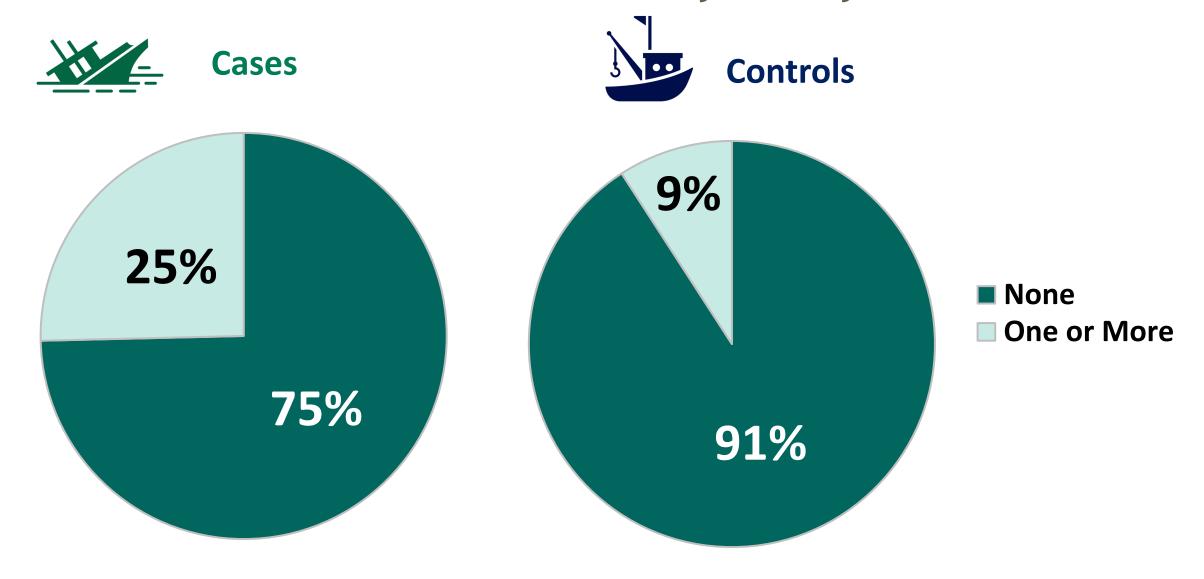
Characteristics of Interest

| 10-Year Reported Vessel Casualty History | None One or More |
|--|---|
| Fishing Vessel Safety Decal | Current Expired None |
| Documentation | Federally Documented State Registered |
| Vessel Age (years) | < 25 ≥ 25 |
| Length (feet) | < 50 50 − 78 ≥ 79 |
| Hull Material | Fiberglass Aluminum Steel Wood |

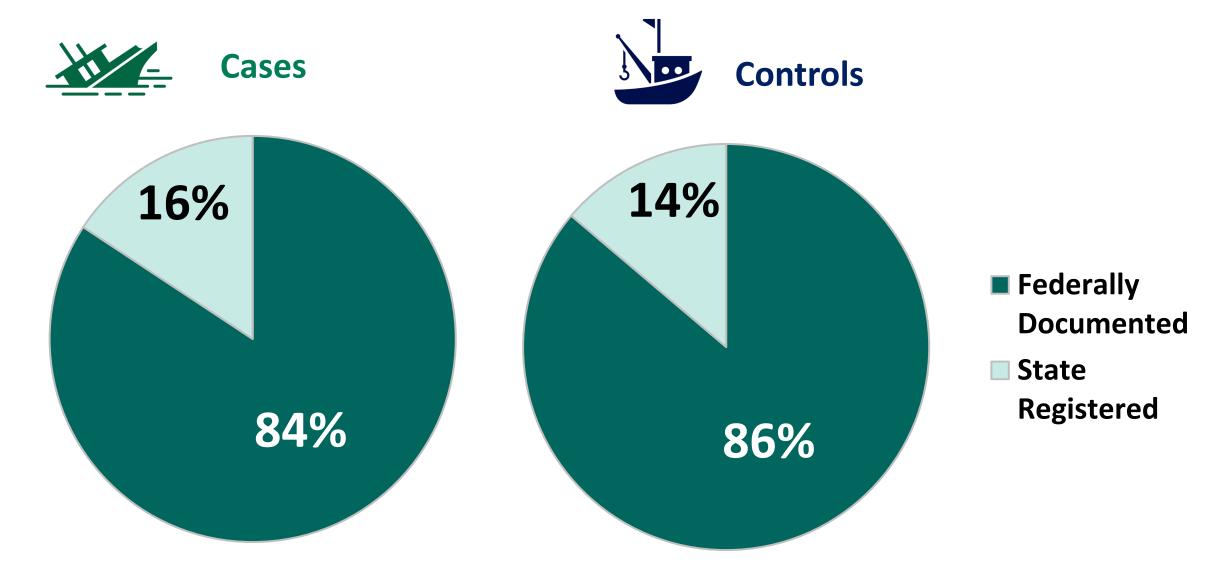
Data Sources:

- NIOSH
- State of Alaska
- National Marine
 Fisheries Service
- US Coast Guard

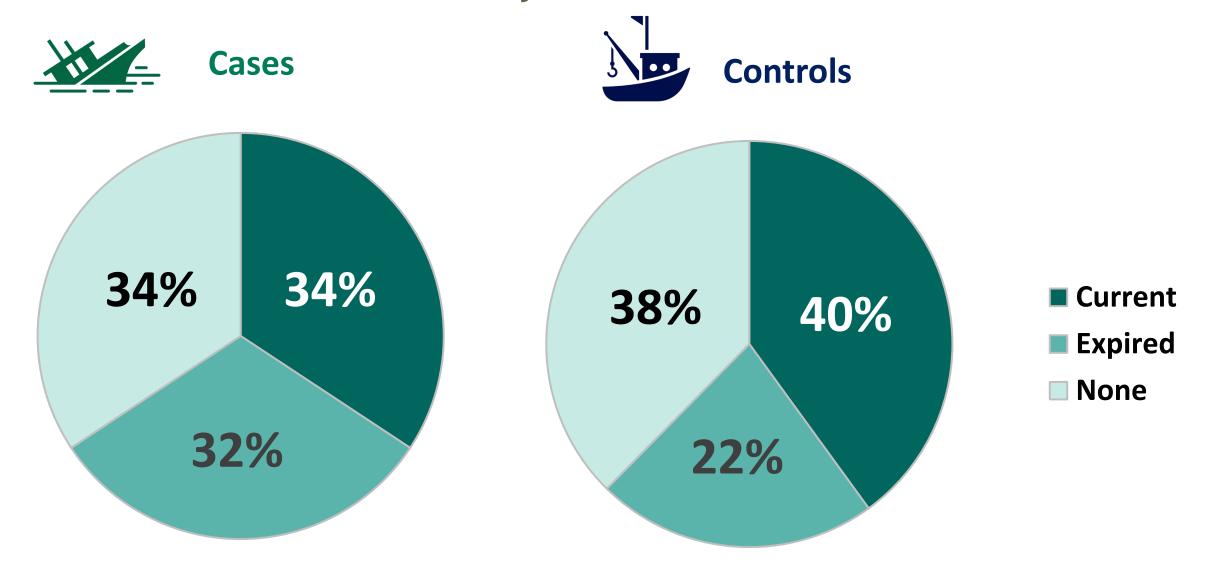
Vessel Characteristics: 10-Year Casualty History



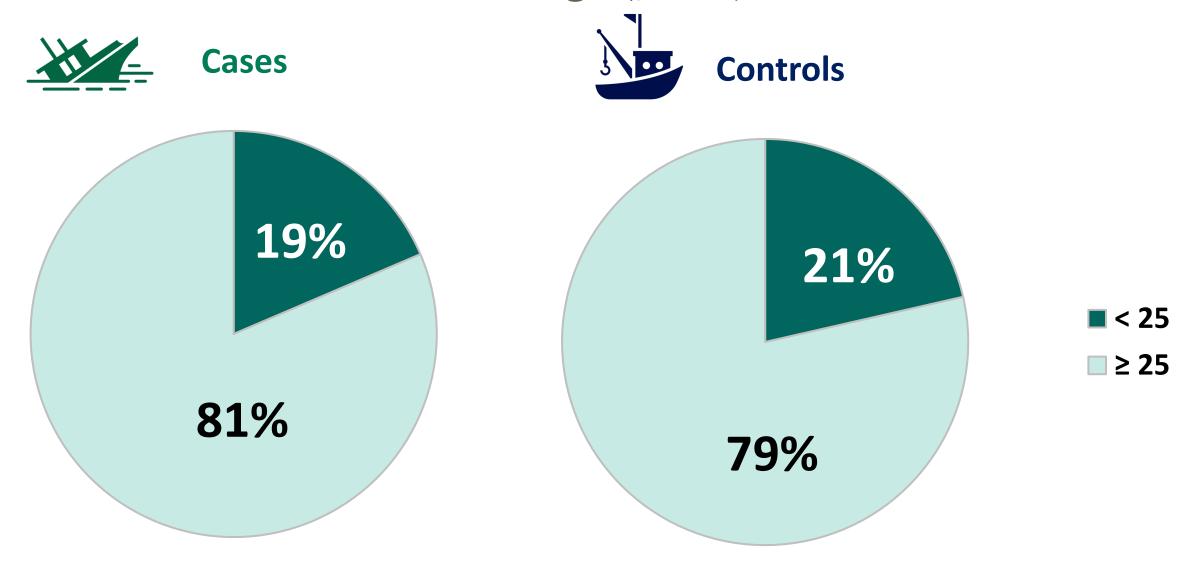
Vessel Characteristics: Documentation



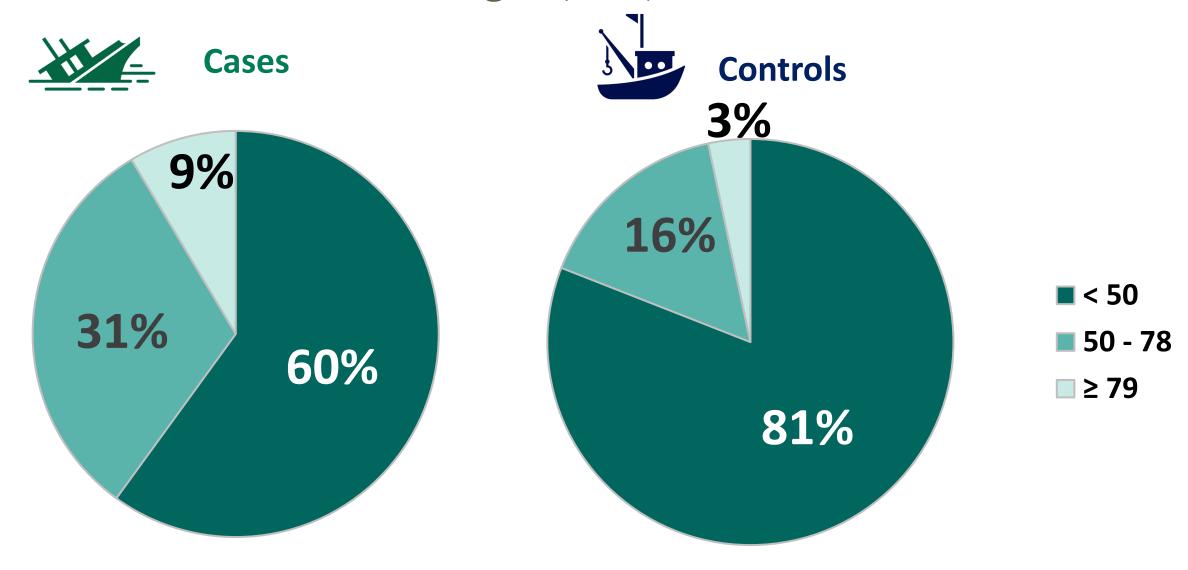
Vessel Characteristics: Safety Decal



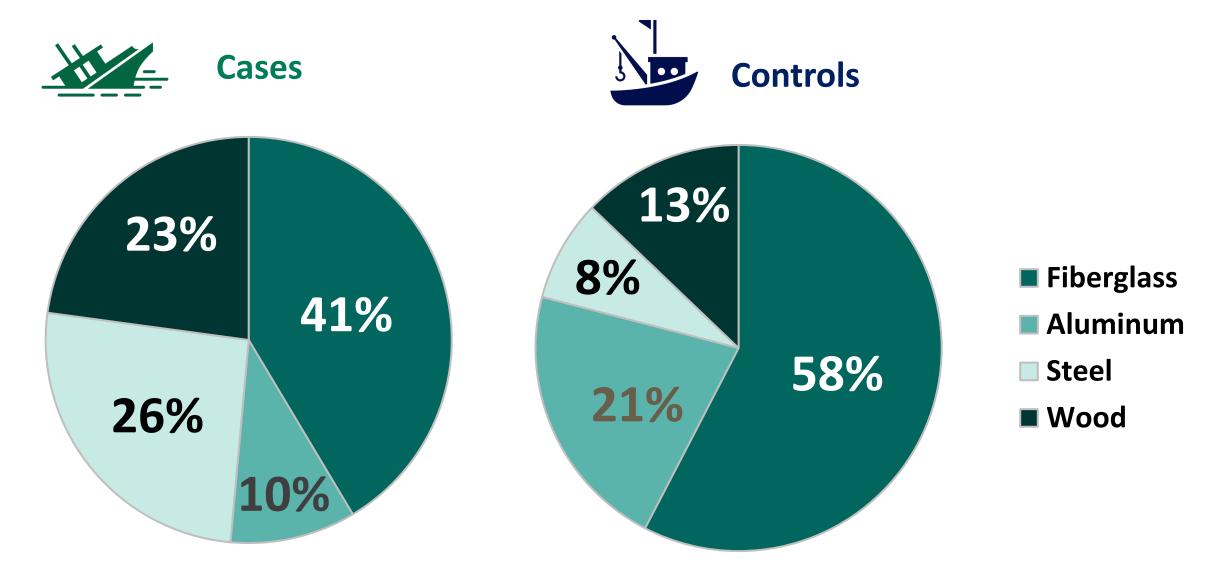
Vessel Characteristics: Vessel Age (years)



Vessel Characteristics: Length (feet)



Vessel Characteristics: Hull Material



Vessels involved in disasters were...





- 3x more likely to have reported vessel casualties in the previous 10 years
- 2.4x more likely to have an expired fishing vessel safety decal
 - Could be due to larger safety problems, such as poor safety culture/climate, lack of routine maintenance, etc.
- 3.3x more likely to have steel hulls
 - Could be indicative of the types of fishing operations (e.g., winter fishing; farther offshore)



Conclusions

- Findings provide support for Coast Guard-led initiatives
 - Alternate Safety Compliance Programs (ASCPs) / Voluntary
 Safety Initiatives and Good Marine Practices: safety guidance for unclassed vessels >50' and >25 years
 - Dockside Examinations: Now mandatory for vessels operating
 >3 nautical miles offshore
- Vessel casualties as risk factor
 - Preventative maintenance plan
 - Complete repairs when casualties do occur
- Further research warranted

NIOSH Vessel Disaster Research

- Two studies analyzed fishing vessel disasters in Alaska
- Asked two questions:
 - Do vessel-related characteristics (e.g., history of casualties) predict vessel disasters?¹
 - 2. If a vessel sinks, what factors improve survival chances?²



Crewmember rescued by USCG after vessel sinking. Photo: USCG.

¹Case, S. L., & Lucas, D. L. (2020). Predicting commercial fishing vessel disasters through a novel application of the theory of man-made disasters. *Journal of safety research*, 75, 51-56. ²Lucas, D. L., Case, S. L., Lincoln, J. M., & Watson, J. R. (2018). Factors associated with crewmember survival of cold water immersion due to commercial fishing vessel sinkings in Alaska. *Safety science*, 101, 190-196.

Study Approach

- Compare survivors and victims of vessel disasters
- Includes:
 - Decked commercial fishing vessels
 - Sinkings and capsizings
 - Alaskan waters
 - **-** 2000–2014
- Excludes:
 - Undecked vessels (i.e., skiffs)
 - Groundings and fires



Air Station Kodiak assists commercial fishing vessel. Photo: USCG.

Potential Survival Factors

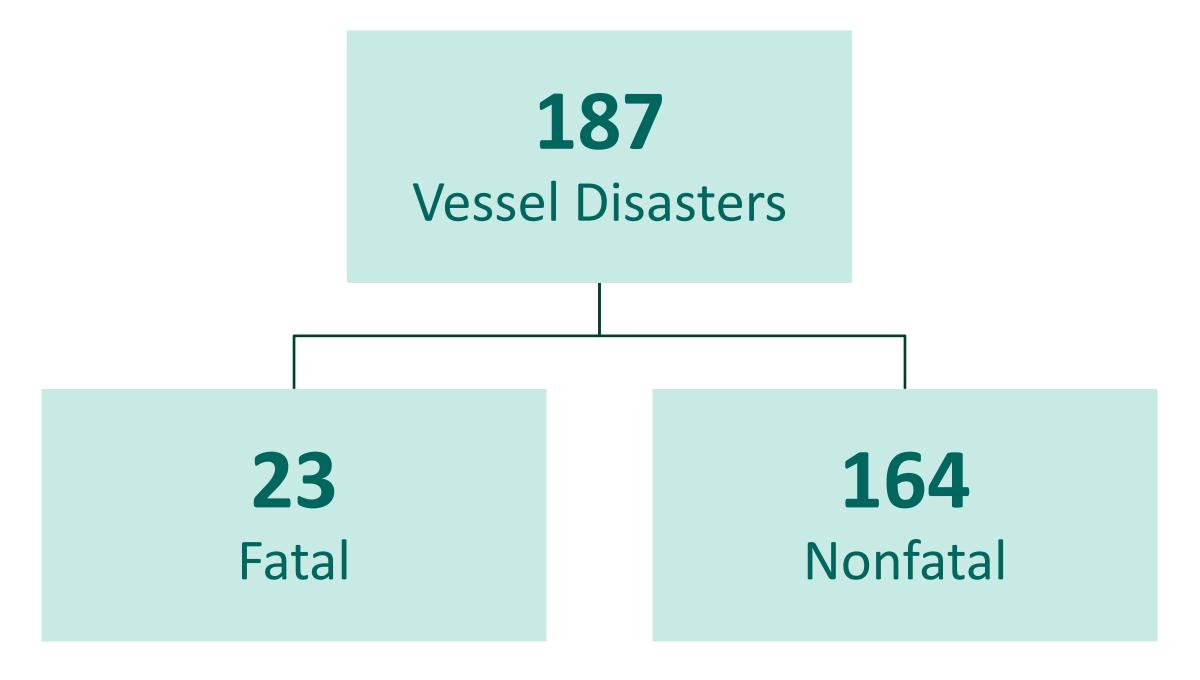
Crewmember

Event

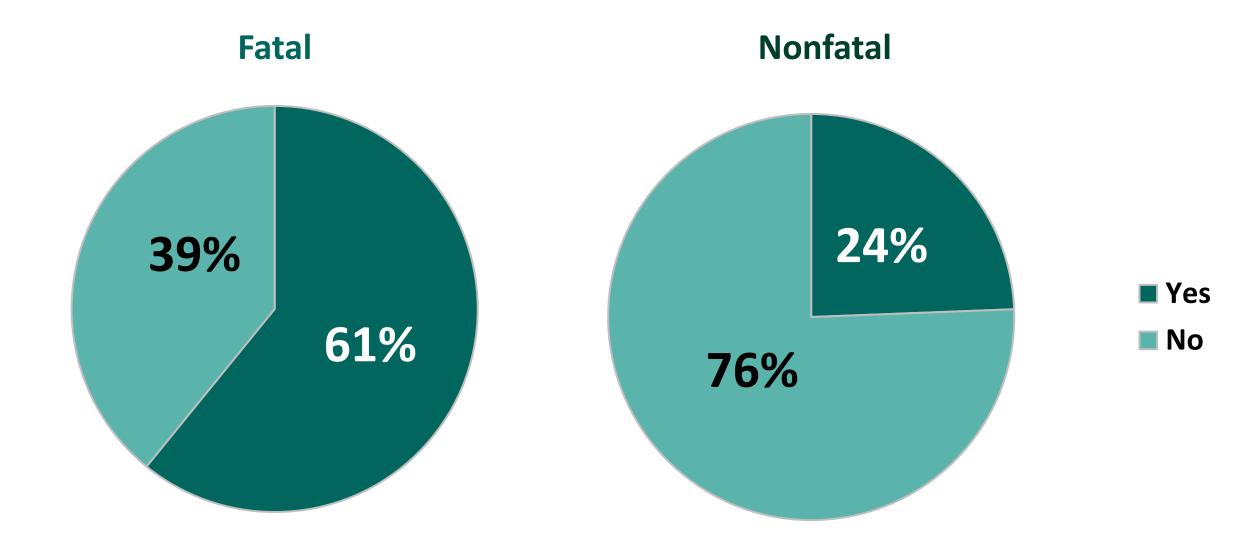
Vessel

| Hull material | Fiberglass / Aluminum / Steel / Wood |
|--------------------------------|---|
| Age | < 25 years / ≥ 25 years |
| Length | < 50' / ≥ 50' |
| Season | Summer / Winter |
| Region of Alaska | Southwest / Southcentral / Southeast |
| Weather-related | Yes / No |
| Distance from shore | ≤ 3 miles / > 3 miles |
| Job position | Officer / Deckhand / Processor / Other* |
| Marine safety training history | Yes / No |
| Life raft used | Yes / No |
| Immersion suit worn | Yes / No |
| | |

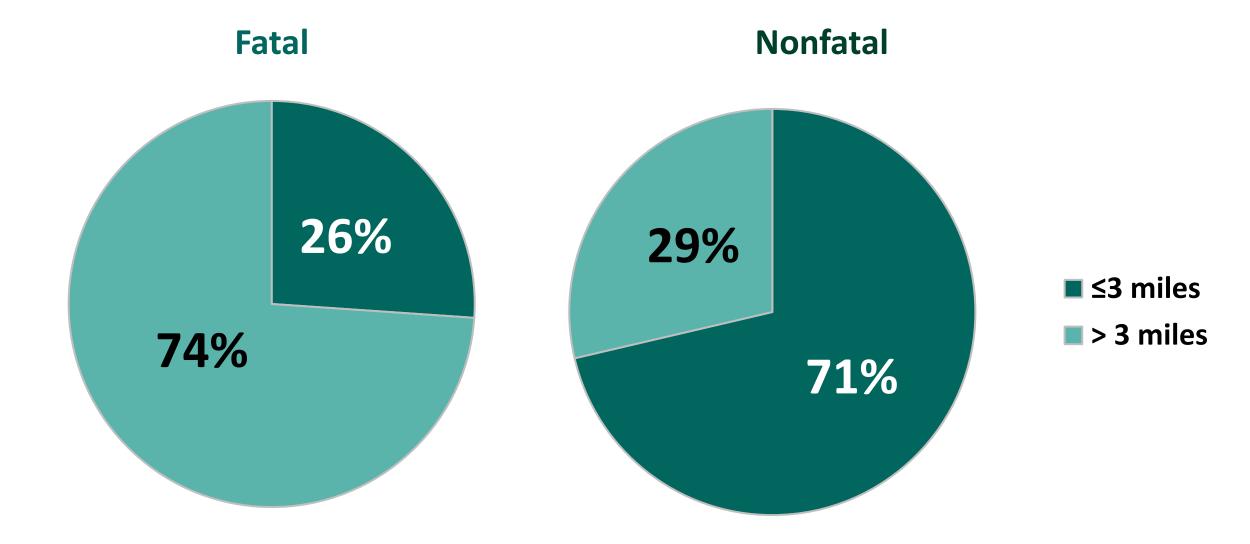
^{* &}quot;Other" includes cook, engineer, and fishery observer



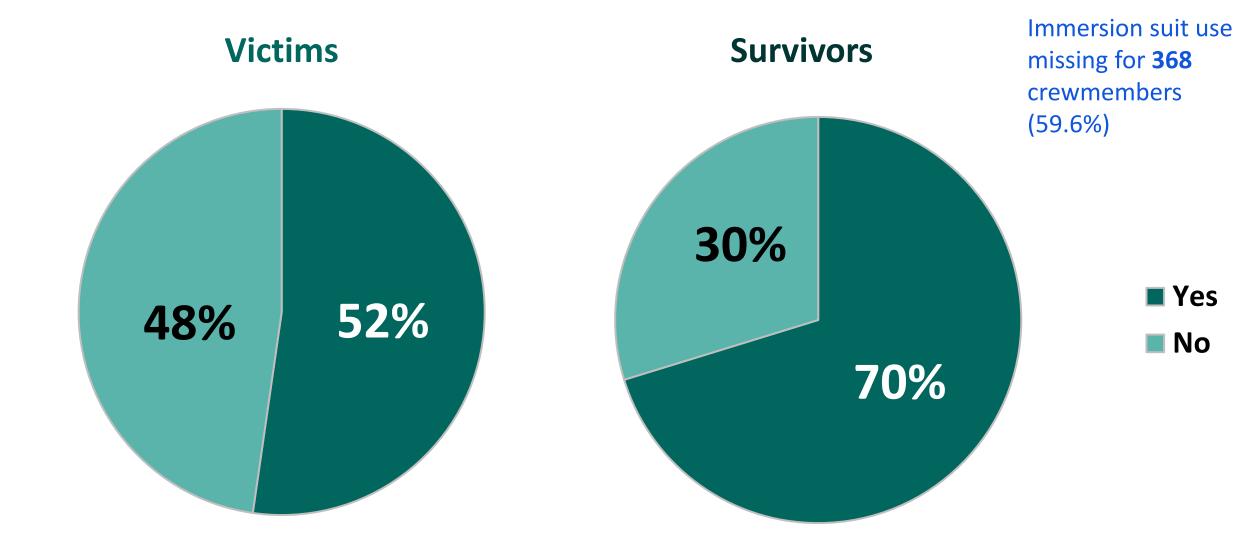
Event Characteristics: Weather-Related



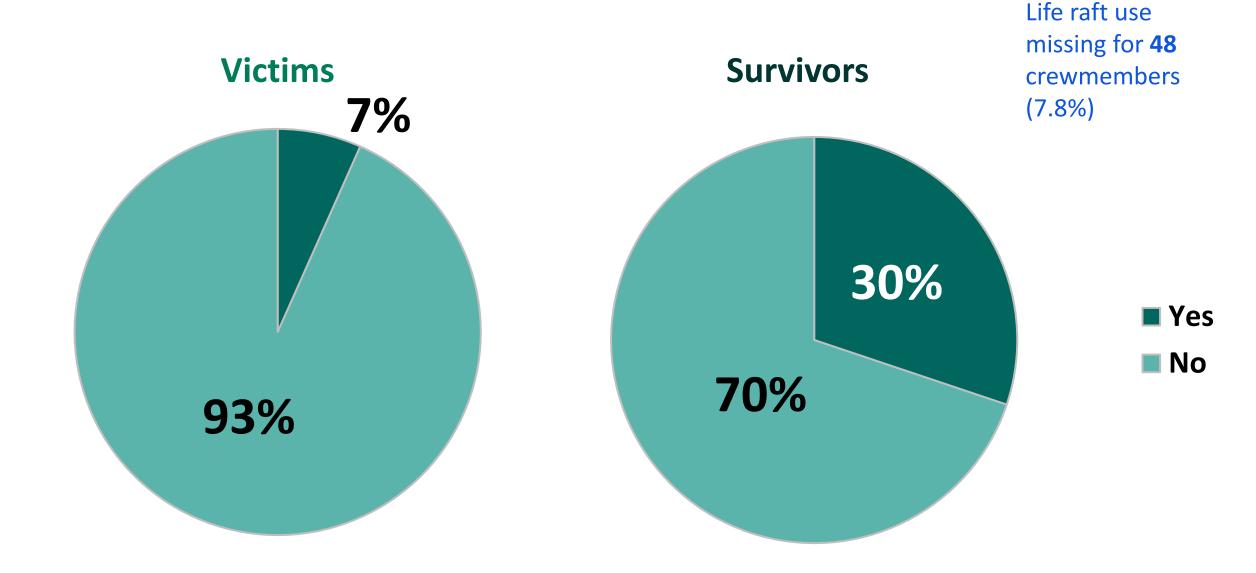
Event Characteristics: Distance from Shore



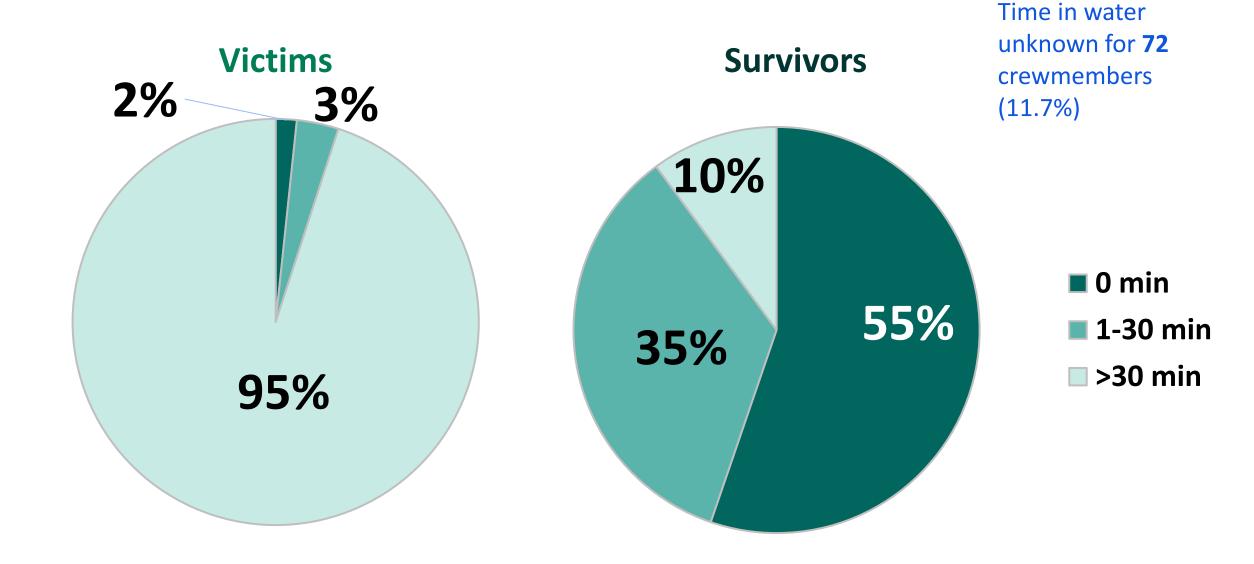
Crewmember Characteristics: Immersion Suit Use



Crewmember Characteristics: Life Raft Use



Crewmember Characteristics: Time in Water



Crewmembers Involved in Fishing Vessel Sinkings

Total Crew at Risk (617)

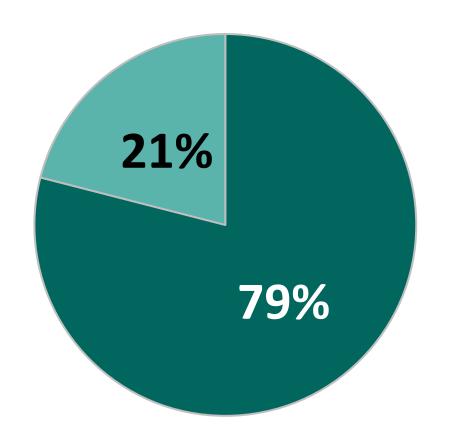
Immersion status known (545)

Did not enter water (269)

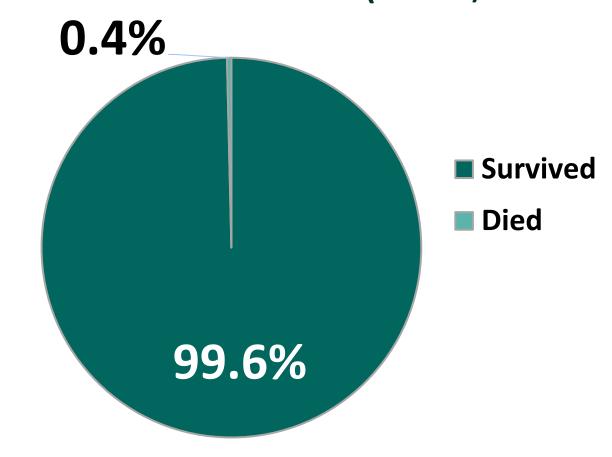
Entered water (276)

Crewmembers Involved in Fishing Vessel Sinkings

Entered the water (n=276)



Did not enter the water (n=269)



Crewmembers who entered the water...



 Were 17x more likely to survive if they were able to enter a life raft



 Were 6x more likely to survive if the sinking was not related to heavy weather

Crewmembers who were in the water for 30 minutes or longer...



 Were 26x more likely to survive if the sinking was not related to heavy weather



Were 12x more likely to survive if they entered a life raft



Were 6x more likely to survive if they wore an immersion suit

Conclusions

- Avoiding cold water immersion is best (e.g., helicopter, life raft)
 - Early recognition and communication of emergencies
- Use of life rafts and immersion suits saves lives
 - Highlights need for safety training and drills
 - Equipment must be easily accessible and well-maintained
- Heavy weather can impact chances of survival
 - May contribute to the disaster occurring
 - May hinder search and rescue



Overview

Fatalities in the Alaska Fishing Industry

Safety Focus: BSAI Crab Fishery

NIOSH Key Research: vessel disasters and survival factors

Safety recommendations



Study of <u>reported</u> marine casualties, BSAI crab fleet, 2005/06 – 2012/13

Assessment of Safety in the Bering Sea/Aleutian Island Crab Fleet

Citation: BSAI Crab Safety Assessment

https://www.cdc.gov/niosh/docs/2016-

112/pdfs/2016-112.pdf

DEPARTMENT OF HEALTH AND HUMAN SERVICES Centers for Disease Control and Prevention National Institute for Occupational Safety and Health



To prevent vessel disasters and other serious vessel casualties

- 1. Participate in the USCG "At-the-Dock Stability and Safety Compliance Check" program prior to each crab season.
- 2. Periodically consult a naval architect to refresh knowledge of safe loading limits and adhere to stability instructions.
- 3. Update and formalize maintenance procedures for propulsion, power, steering, and other critical systems, and closely follow the established schedule.
- 4. All crewmembers should take an 8-hour marine safety class at least every five years to maintain the skills needed in an emergency.

NIOSH Policy

Recommendation #1: A requirement for periodic stability reassessment and vessel inspection of all vessels should be seriously considered, as equipping and retrofitting can substantially affect the stability of vessels.

1997 – Current Intelligence Bulletin:

https://www.cdc.gov/niosh/pdfs/fishcib3.pdf

Fisheries Management Considerations

- Many factors may influence operational decisions related to weather conditions, including fishery management policies.
- Economic pressures generated by certain fishery management policies can play an important role in the decisions made by vessel operators to fish in severe weather conditions (FAO, 2016).
 - Also anticipated changes in policies— "race for catch history"
- When creating or modifying fishery management policies, policy makers should consider the potential safety repercussions of those policies and make efforts to enact policies that mitigate hazards.

Alaska Regional Summary, 2009-2014

Recommendations:

- Maintain proper watch-- watchkeeping
- Vessel owners and operators should create fatigue management policies and use watch alarms to prevent groundings and collisions





Key Resources on Fatigue

- USCG Fishing, Fatigue, and Crew Endurance Management System http://www.fishsafewest.info/PDFs/Fatigue1.pdf
- NIOSH Work Schedules: Shift Work and Long Hours https://www.cdc.gov/niosh/topics/workschedules/

 National Safety Council, Fatigue – You're More Than Just Tired https://www.nsc.org/work-safety/safety-topics/fatigue

Hands-on Marine Safety Training

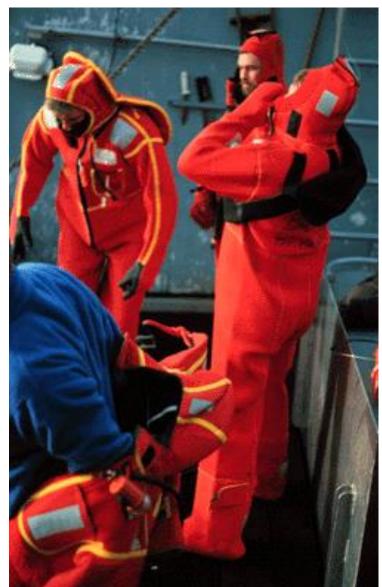












Additional Key USCG Safety Programs

- Alternate Safety Compliance Programs (ASCPs): safety standards for vessels >50' and >25 years
- Dockside Examinations: Now mandatory for vessels operating >3 nautical miles offshore
- Commercial Fishing Safety Training Grants: Provides funding to help bring safety training to commercial fishing ports nationally
- Commercial Fishing Safety Research Grants: Provides funding focused on reducing risk in the industry

Considerations

- Prioritize understanding existing hazards for specific fleets
- Review and update of U.S. Coast Guard Vessel Stability Regulations and Guidance (2019)
- Use authority to incorporate training mandates for emergency drills, stability, first aid, navigation.
- Prioritize collecting information about fatigue
- Prioritize collecting information about safety training
- Develop strategy to engage the industry to make it easy for them to adopt safety management systems and new technology

Acknowledgements

USCG D17

Chris Woodley, CAPT (retired), US Coast Guard

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